

Attorney Docket No.47004-000030
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant: Barton BARRY, et al.)
Application No.: 09/391,460) **RESPONSE TO**
Filed: September 8, 1999) **NOTICE TO FILE**
For: FINANCIAL ADVICE STRATEGY) **MISSING PARTS**
SYSTEM)
February 24, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the "Notice To File Missing Parts of Application" mailed September 24, 1999. In response to the Notice and in accordance with 37 C.F.R. 153(f), Applicant hereby submits herewith a copy of the Notice, an executed Declaration and Power of Attorney and an Assignment with cover sheet.

The period for response to the Notice is set to expire two (2) months from the mailing date of the Notice (November 24, 1999) and thus

- this response is timely filed; (or)
X an extension of time until (February 24, 2000) under the provisions of 37
C.F.R. 1:17(a)(2)

A check in the amount of **\$1,318.00** is attached to cover the following:

- X \$690.00 filing fee for a large entity;
X \$ 380.00 two (2) month extension of time fee;
X \$ 78.00 independent claim fee (1);
X \$ 40.00 assignment w/cover sheet for recordation fee; and
X \$130.00 filing surcharge set forth in 37 C.F.R. 1.27.


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Assistant Commissioner for Patents
February 24, 2000
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In the event any variance exists between amount attached and the proper Patent and Trademark Office charges, please charge or credit any difference to our Deposit Account No. 50-0206.

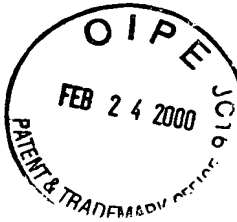
Respectfully submitted,

HUNTON & WILLIAMS

By: 
Stephen T. Schreiner
Registration No.: 43,097
Hunton & Williams
1900 K Street, N.W.
Washington, DC 20006
Tel: (202) 955-1500
Fax: (202) 778-2201

STS:vtm

Assistant Commissioner for Patents
October 25, 1999
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Author: Manages, Victoria, 02977
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/391,460 09/08/99 BART B 47004.000030

0222/0924

HUNTON & WILLIAMS
1900 F STREET NW
WASHINGTON DC 20005-1100

NOT ASSIGNED



2761

DATE MAILED:

09/24/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 968.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ 78.00 for 1 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Deanna K. Hays

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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01 FC:105 130.00 DP
02 FC:102 78.00 DP
03 FC:101 690.00 DP
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